Docket No.

251598US(/mk SEP 0 7 2004

N TYPE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

SAPEOSAKA, et al.

SERIAL NO:

10/820,123

GAU:

3723

FILED:

April 8, 2004

EXAMINER:

FOR:

ABRASIVE PAD, METHOD AND METAL MOLD FOR MANUFACTURING THE SAME, AND

SEMICONDUCTOR WAFER POLISHING METHOD

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

The applicant(s) wish to make of record the references cited in the attached European Search Report listed on the
attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of
relevancy or any readily available English translations of pertinent portions of any non-English language
references.

☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present
application. In accordance with discussions on August 4, 2004 with Mr. Nicholas P. Godici, Commissioner
for Patents, it is no longer required to submit copies of cited pending applications. A modification of the
Rules will be published soon in the Official Gazette. Cited issued patents, if any, are listed on the attached PTC
form 1449.

A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

Each item of information contained in this information disclosure statement was first cited in any communication
from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of
this statement.

□ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number <u>15-0030</u>. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Norman F. Oblon

Roland E. Martin Registration No. 48,082

Customer Number

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LIST OF REFERENCES CITED BY APPLICANT

Form PTO 1449 (Modified)

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ATTY DOCKET NO.
251598US0

SERIAL NO. 10/820,123

APPLICANT

Yukio HOSAKA, et al.

FILING DATE April 8, 2004 GROUP 3723

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
*** .	AA	6,387,312	05/14/2002	J. V. H. ROBERTS, et al.			
	AB	6,074,287	06/13/2000	A. MiYAJI, et al.			
	AC	2,833,638	05/06/1958	R. G. OWEN			
	AD						
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FOREIGN PATENT DOCUMENTS

	DOCUMENT	DATE	COUNTRY	TRAN	SLATION
	NUMBER	DATE		YES	NO
AO	1 252 973	10/30/2002	EUROPE		
AP	1 293 297	03/19/2003	EUROPE		
AQ				-	
AR			,		
AS					
AT					
AU					
AV					

		OTHER REFERENCES (Including Author, Title, Date, Pertinent	Pages, etc.)
	AW		
	AX		
	AY		
	AZ		Additional References sheet(s) attached
Examiner			Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.